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## NOTICE OF ALLOWANCE AND FEE(S) DUE

26192 7590 09/23/2008

FISH & RICHARDSON P.C.  
PO BOX 1022  
MINNEAPOLIS, MN 55440-1022

EXAMINER

HAN, QI

ART UNIT

PAPER NUMBER

2626

DATE MAILED: 09/23/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/802,479

03/16/2004

Jun Wu

16113-615001

4107

TITLE OF INVENTION: SYSTEMS AND METHODS FOR TRANSLATING CHINESE PINYIN TO CHINESE CHARACTERS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$0	\$1740	12/23/2008

**THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.**

**THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.**

### HOW TO REPLY TO THIS NOTICE:

#### I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

**IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.**

# **PART B - FEE(S) TRANSMITTAL**

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

26192 7590 09/23/2008

**FISH & RICHARDSON P.C.**  
**PO BOX 1022**  
**MINNEAPOLIS, MN 55440-1022**

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## **Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/802,479 03/16/2004 Jun Wu 16113-615001 4107

TITLE OF INVENTION: SYSTEMS AND METHODS FOR TRANSLATING CHINESE PINYIN TO CHINESE CHARACTERS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
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nonprovisional NO \$1440 \$300 \$0 \$1740 12/23/2008

EXAMINER	ART UNIT	CLASS-SUBCLASS
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HAN, QI 2626 704-002000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, 1 \_\_\_\_\_
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 2 \_\_\_\_\_
- 3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent) : ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☐ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s); (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature \_\_\_\_\_

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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/802,479	03/16/2004	Jun Wu	16113-615001	4107
26192	7590	09/23/2008	EXAMINER	
FISH & RICHARDSON P.C. PO BOX 1022 MINNEAPOLIS, MN 55440-1022			HAN, QI	
			ART UNIT	PAPER NUMBER
			2626	
DATE MAILED: 09/23/2008				

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 864 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 864 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

<b>Notice of Allowability</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/802,479	WU ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	QI HAN	2626	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to amendment filed on 07/01/2008.
2. ☒ The allowed claim(s) is/are 1,3-5,7-10,12-14,16 and 17.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) ☐ All    b) ☐ Some\*    c) ☐ None    of the:
    1. ☐ Certified copies of the priority documents have been received.
    2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
  - \* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.
  - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached
    - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
  - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.

**Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).**
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

- |   |   |
|---|---|
| <ol style="list-style-type: none"> <li>1. <input type="checkbox"/> Notice of References Cited (PTO-892)</li> <li>2. <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>3. <input type="checkbox"/> Information Disclosure Statements (PTO/SB/08),<br/>Paper No./Mail Date _____</li> <li>4. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit<br/>of Biological Material</li> </ol> | <ol style="list-style-type: none"> <li>5. <input type="checkbox"/> Notice of Informal Patent Application</li> <li>6. <input type="checkbox"/> Interview Summary (PTO-413),<br/>Paper No./Mail Date _____.</li> <li>7. <input checked="" type="checkbox"/> Examiner's Amendment/Comment</li> <li>8. <input checked="" type="checkbox"/> Examiner's Statement of Reasons for Allowance</li> <li>9. <input type="checkbox"/> Other _____.</li> </ol> |
|---|---|

## **DETAILED ACTION**

### ***Response to Amendment***

1. This communication is responsive to the applicant's amendment filed on 07/01/2008. The applicant(s) amended claims 1, 3-4, 7-10, 12-13 and 16-17, cancelled claims 2, 6, 11, 15 and 18-47 (see the amendment: pages 4-8; also see Examiner's amendment below).

The examiner withdrew the disclosure objection because the applicant amended/clarified the corresponding content of the specification.

The examiner withdrew the claim rejection under 35 USC 103, because the applicant amended all independent claims and provided persuasive arguments (see Remarks: page 15-19), which overcome prior art rejection and provide considerable basis for allowance (also see reason for allowance below).

### ***Examiner's Amendment***

2. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with the applicant's representative, Paul Franz (45,910), on 09/17/2008. The Examiner's Amendment is as following:

**In the claims** (based on the amendment filed on 07/08/2008):

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For **Claim 1**, line 7 of the claim (first limitation element), after “segmenting the Chinese”, replace “characters” with --character inputs--;

line 12 of the claim, after “for each unknown character string”, replace “,” with --:--;

line 19 of the claim, after “identifying the”, insert -- unknown --;

line 23 of the claim, after “resegmenting the Chinese”, replace “characters” with -- character inputs--.

For **Claim 9**, line 3 of the claim, after “medium on which are stored instructions executable on a computer processor”, insert --for training a Chinese model from Chinese character inputs--;

line 9 of the claim (first limitation element), after “segmenting the Chinese”, replace “characters” with --character inputs--.

line 13 of the claim, after “for each unknown character string”, replace “,” with --:--;

line 12 of the claim, after “identifying the”, insert -- unknown--;

line 24 of the claim, after “resegmenting the Chinese”, replace “characters” with -- character inputs--.

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For **Claim 10**, replace the whole claim with:

--10. (Currently Amended) A system for training a Chinese language model from Chinese character inputs, comprising:

a segmenter configured to segment the Chinese character inputs into valid words and unknown character strings, wherein the valid words are entries in a Chinese dictionary, and the unknown character strings are not entries in the Chinese dictionary and comprise Chinese characters, and the segmenter is further configured to resegment the Chinese character inputs into Chinese words in response to new valid words being identified from the unknown character strings, wherein the Chinese words are entries in an updated Chinese dictionary that includes the new valid words;

a new word analyzer configured to determine a corresponding first frequency of occurrence for the unknown character string and a corresponding second frequency of occurrence for each of the Chinese characters in the unknown character string, compare the first frequency of occurrence to the second frequency of occurrence to determine an information gain value, compare the information gain value to a threshold, identify the unknown character string as a new valid word when the information gain value is greater than the threshold, and add the new valid word to the Chinese dictionary to create the updated Chinese dictionary; and

a Chinese language model training module configured to generate a transition matrix of conditional probabilities for predicting a word string given a context based on the resegmenting.--.

For **Claims 18-47**, cancel these claims.

-----End of Examiner's Amendment-----

*Allowable Subject Matter*

3. Claims 1, 3-5, 7-10, 12-14 and 16-17 are allowed.

The following is an examiner's statement of reasons for allowance:

Regarding independent **claims 1 and 9-10**, the instant application is directed to a method, a computer product comprising computer readable storage medium and a system for training a Chinese language model from Chinese character inputs.

For independent **claims 1 and 9**, each of the independent claims, combining some well known features in the art, respectively identifies the uniquely distinct features of:

(for claims 1 and 9)

for each unknown character string:

determining a corresponding first frequency of occurrence for the unknown character string and a corresponding second frequency of occurrence for each of the Chinese characters in the unknown character string;

comparing the first frequency of occurrence to the second frequency of occurrence to determine an information gain value;

comparing the information gain value to a threshold;

identifying the unknown character string as a new valid word when the information gain is greater than the threshold;

adding the new valid word to the Chinese dictionary to create an updated Chinese dictionary;

resegmenting the Chinese character inputs into Chinese words, wherein the Chinese words are entries in the updated Chinese dictionary; and



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generating a transition matrix of conditional probabilities for predicting a word given a context based on the resegmenting.

For independent **claim 10**, it recite an apparatus (a system). The reason for allowance is based on the same reason described for claim 1 as stated above, because the method claim and apparatus claim are related as apparatus and method of using same, with each claimed element's function corresponding to the claimed method step.

4. The prior art of record, BADINO (US 2007/0118346), LEE et al. (US 7,165,019), LEE (US 2004/0215465), NIE et al. ("unknown word detection and segmentation of Chinese using statistical and heuristic knowledge", communications of COLIPS, vol. 5. NO 1&2, DEC 1995, page 47-57), provided numerous teachings and techniques for segmenting syntagms of a text and defining lexicon including ideogram such as Chinese, providing maximum matching segmentation (MMS), resolving ambiguity with probability, decompositions using mapping lattice or matrix and cost measure, and updating a dynamic lexicon (SLEX); providing statistical language model having conditional probabilities, using N-gram Markov model with large training corpus; using overlapping syllable/character/word segments with length N and/or their pairs separated by n; solving out-of-vocabulary (new word) problem; and eliminating n-gram overlapping if an n-grams contained within longer n-grams that have a high probability and considering an n-grams having a frequency higher than a threshold as a new word. However, the combined features stated above, are not anticipated by, nor made obvious over the prior art of the record.

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5. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### *Conclusion*

6. Please address mail to be delivered by the United States Postal Service (USPS) as follows:

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Alexandria, VA 22313-1450

**or faxed to:** 571-273-8300, (for formal communications intended for entry)

**Or:** 571-273-8300, (for informal or draft communications, and please label "PROPOSED" or "DRAFT")

If no Mail Stop is indicated below, the line beginning Mail Stop should be omitted from the address.

Effective January 14, 2005, except correspondence for Maintenance Fee payments, Deposit Account Replenishments (see 1.25(c)(4)), and Licensing and Review (see 37 CFR 5.1(c) and 5.2(c)), please address correspondence to be delivered by other delivery services (Federal Express (Fed Ex), UPS, DHL, Laser, Action, Purolator, etc.) as follows:

U.S. Patent and Trademark Office  
Customer Window, Mail Stop \_\_\_\_\_  
Randolph Building  
Alexandria, VA 22314

Any inquiry concerning this communication or earlier communications from the examiner should be directed to QI HAN whose telephone number is (571)272-7604. The examiner can normally be reached on M-TH:9:00-17:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Richemond Dorvil can be reached on (571)-272-7602. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

QH/qh

September 17, 2008

/Qi Han/

Examiner, Art Unit 2626